

# aerosol direct radiative forcing

on the consistency of estimates from  
global modelling and  
observation-tied methods

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*Santa Fe, July 2006*

# Background

- **anthropogenic climate change** (since pre-industrialization)
  - **at ToA**
    - greenhouse gases: + 2.3 W/m<sup>2</sup>
    - aerosol direct effect: - (0.0 to 0.7) W/m<sup>2</sup>
    - aerosol indirect effs: - (0.5 to 1.3) W/m<sup>2</sup>
- **aer. direct effect uncertainty is surprisingly large**
  - **modeling**
    - IPCC- TAR 0.43 W/m<sup>2</sup>
    - IPCC- 4AR 0.20 +/-0.20 W/m<sup>2</sup> [AeroCom]
  - **remote sensing tied techniques**
    - several studies 0.55 +/-0.20 W/m<sup>2</sup>



# Questions - Approach

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## ○ Questions

- Why are differences so large for aerosol direct forcing of data-tied approaches ?
- Why are the new (direct) aerosol cooling estimates from global modeling so much weaker than from data-tied approaches ?

## ○ Approach

- understand what aerosol and environmental data and what global coverage relate to the estimate
- compare beyond annual global averages - investigate on a regional and seasonal basis

# direct ToA forcing ingredients

*...with many opposing influences: inconsistencies cause uncertainty!*

## ○ aerosol properties

- aot (aerosol amount)
- ssa (aero absorption)
- g (aerosol size)

with more aot: **stronger impact**

with more absorption: **less negative**

with smaller scatterers: **stronger im.**

## ○ environmental properties

- available sun light
- solar surface albedo
- cloud co-location
- rel. altitude to clouds
- sun-elevation
- temp. (sur. /profile)
- anthropogenic fraction

with more insolation: **stronger im.**

with higher albedo: **less negative**

with cloud above: **reduced impact**

with clouds below: **less negative**

scattering: **max impact at mid angle**

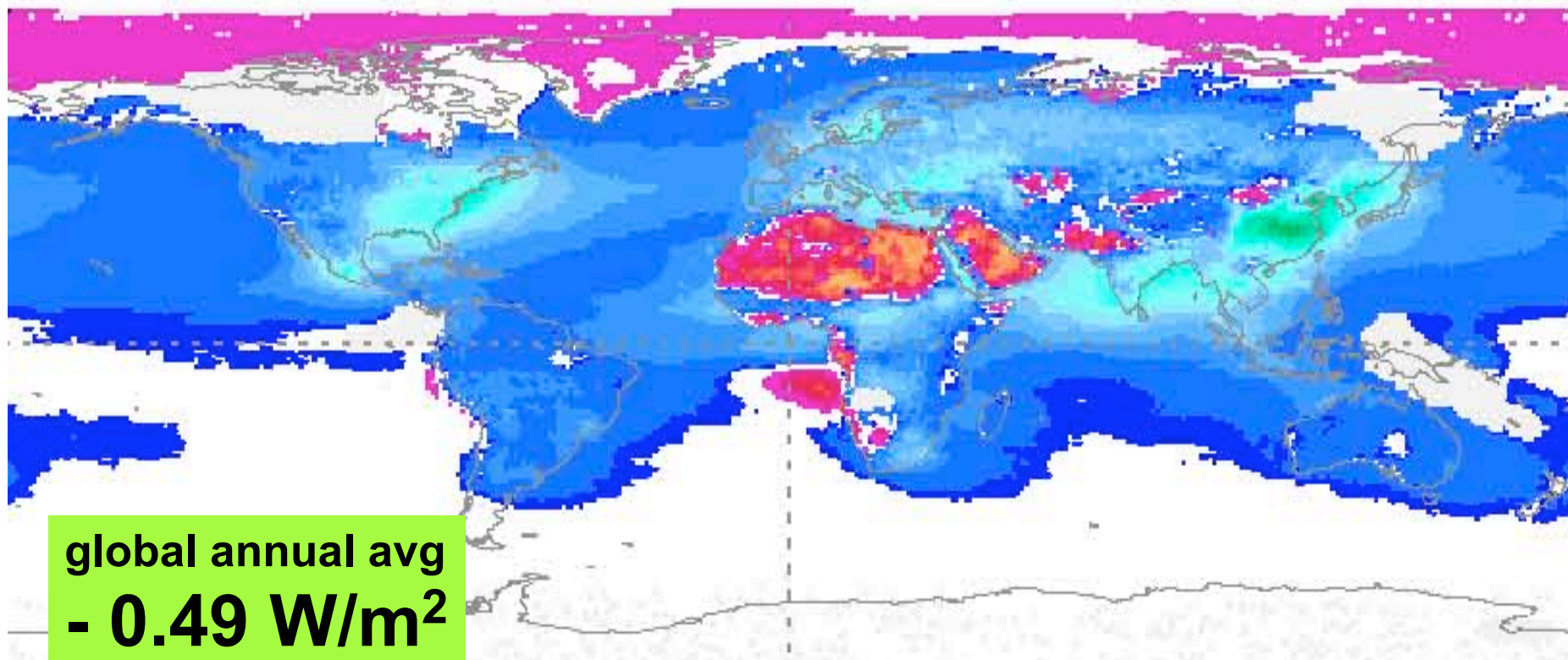
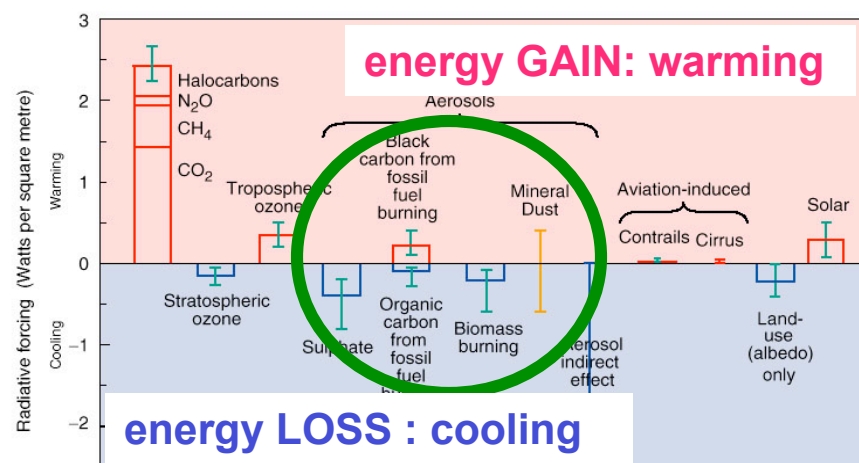
secondary effect for natural aerosol

**estimates from modeling needed !**

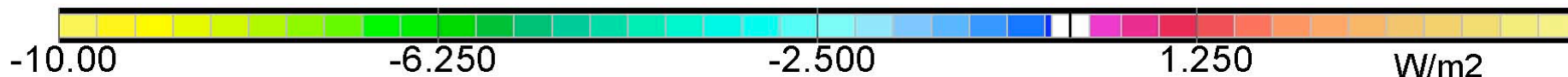
# my estimate

for the direct aerosol forcing  
at the top of atmosphere (ToA)  
with clouds (all-sky conditions)  
for anthropogenic aerosol

The global mean radiative forcing of the climate system  
for the year 2000, relative to 1750

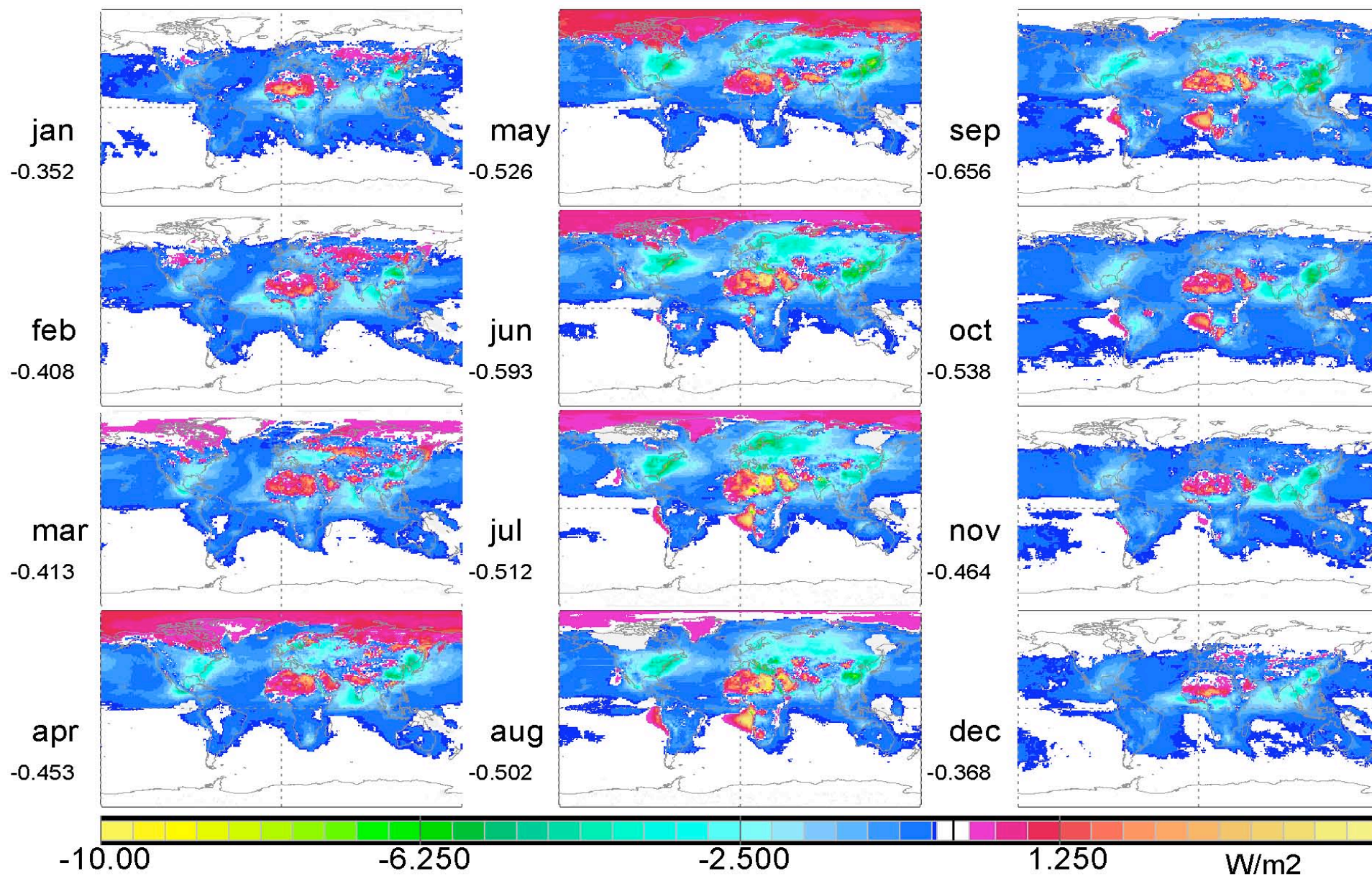


global annual avg  
- 0.49 W/m<sup>2</sup>





# aerosol dir forcing - on a monthly basis



# other aerosol rad. forcings

- **location**
  - **ToA, atm, surface**
- **environment**
  - **all-sky, clear-sky**
- **spectral range**
  - **solar, infrared, both**

Forcing	sol,ir	sol,ir	solar	solar
W/m <sup>2</sup>	clear-sky	all - sky	clear-sky	all - sky
ToA	- 3.5	- 1.7	- 4.5	- 2.3
surf.	- 6.8	- 5.1	- 9.2	- 6.7
ToA, an	- 1.0	- 0.5	- 1.1	- 0.5
surf, an	- 2.9	- 2.3	- 3.2	- 2.5

- **on a globally avg basis:**
  - **at clear-skies: surf forcing ~ 2 \* ToA forcing (⇒ atm ~ ToA)**
  - **at all-skies: surf forcing ~ 3 \* ToA forcing (⇒ atm ~ 2\*ToA)**
  - **solar effects dominate infrared effects (9:1 at ToA, 4:1 at surf)**
  - **cloud effect (all-sky *minus* clear-sky): ToA forcing is ~ halved**



clr-sky  
ToA

clr-sky  
surface

all-sky  
ToA

all-sky  
surface

**sol+ir**

## AEROSOL FORCING

t0t  
-3,529

s0t  
-6,767

t1t  
-1,712

s1t  
-5,141

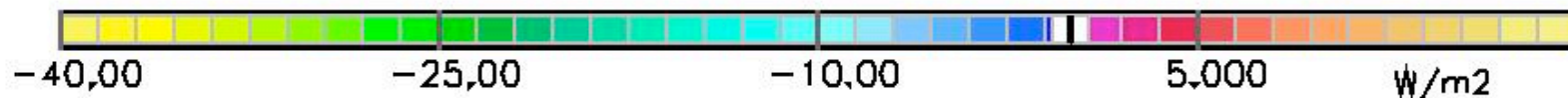
## climatology – annual avg

t0a  
-1,038

s0a  
-2,988

t1a  
-0,491

s1a  
-2,329



total (natural+anthrop.)

anthropogenic (total-nat)

# what is the input to these #s ?

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## ○ aerosol properties

- complete (1\*1) monthly fields from global modeling
- improved with quality data from AERONET
- 'smart' assumptions (mid-visible → broadband)

## ○ environmental properties

- MODIS surface albedo (visible and near-IR)
- ISCCP cloud (high-, mid- and low- cover, scene ot)
  - from global modeling:
- aerosol altitude distribution (rel. to clouds)
- anthropogenic fine mode ( $r < 0.5 \mu\text{m}$ ) fraction



# *aerosol properties*

**AeroCom**  
median and  
**AERONET**

○ M

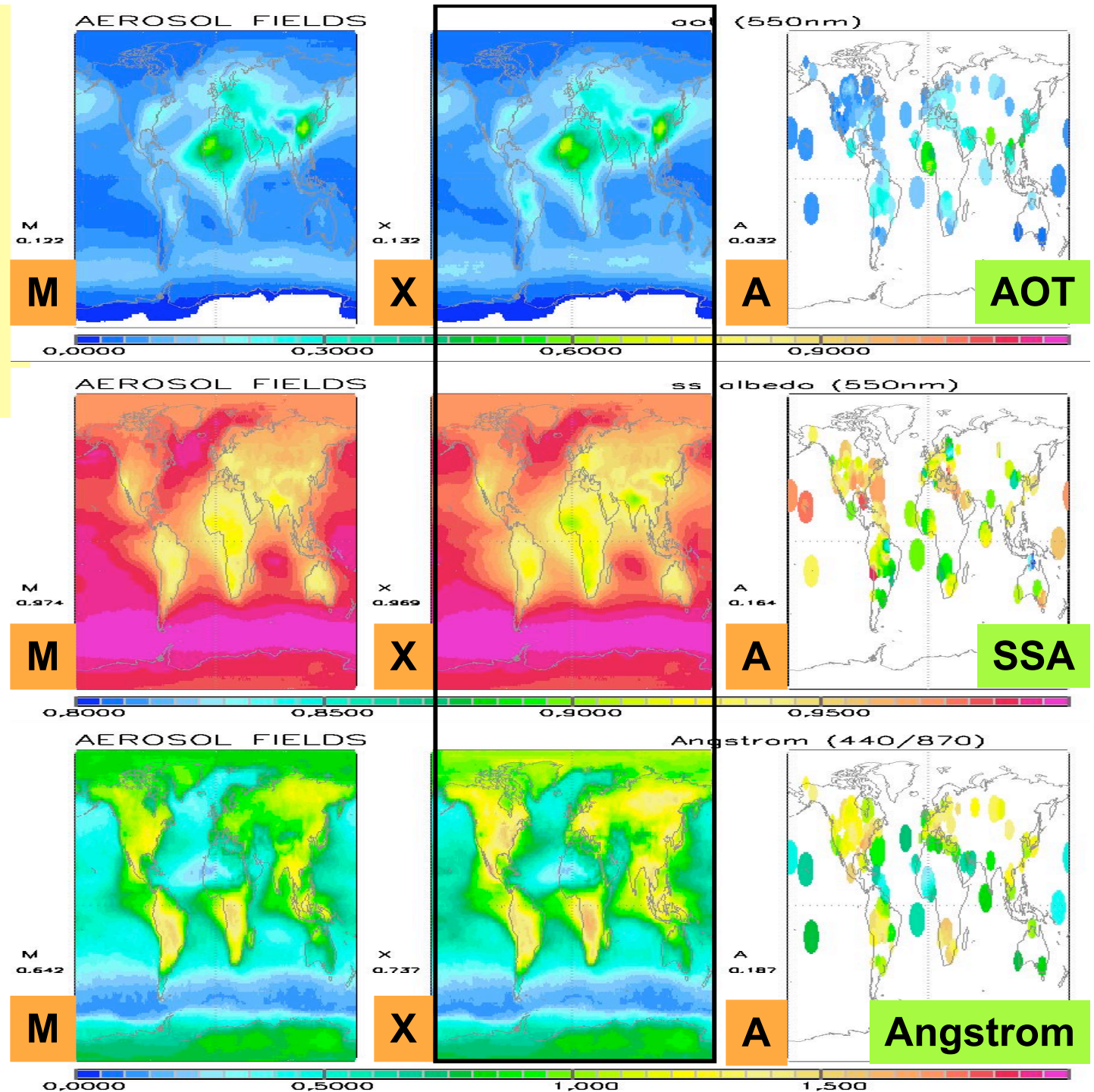
AeroCom  
model  
median

○ X

merged  
product

○ A

AERONET  
(enlarged)





# environmental prop choices

Environm. Properties

$a_{vis}/a_{nir}/ff \text{ I/m/h}$

$a_{vis}$   
0.121

visible albedo

$a_{nir}$   
0.145

near-IR albedo

$ff$   
0.487

fine fraction

$h_c$   
0.223

high cld cover

$m_c$   
0.197

mid cld cover

$l_c$   
0.262

low cld cover



-  $0.49 \text{ W/m}^2$  - consistent with other est?

**NO !**

- data-tied efforts

○ - $0.44 \text{ W/m}^2$	median model	
○ - $0.49 \text{ W/m}^2$	median model + merged AERONET	
○ - $0.60 \text{ W/m}^2$	FE <sub>merged</sub> applied to sat composite	
○ - $0.35 \text{ W/m}^2$	Chung et al.	
○ - $0.70 \text{ W/m}^2$	Quaas et al.	<b>WARNING</b> many of these 'data'-estimates are not based on global coverage
○ - $0.75 \text{ W/m}^2$	Bellouin et al.	
○ - $0.50 \text{ W/m}^2$	Hayward et al.	
○ - $0.46 \text{ W/m}^2$	Kaufman et al.	

- global modeling efforts

○ - $0.43 \text{ W/m}^2$	IPCC –TAR
○ - $0.18 \text{ W/m}^2$	AeroCom avg. $\pm 0.2 \text{ W/m}^2$
○ - $0.35 \text{ W/m}^2$	LOA-model

# compare forcings

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## ○ Participant # 1

- combine calculated forcing efficiency fields ( $F/aot$ ) with composite of regionally 'best' satellite data

## ○ Participant # 2

- the Quaas approach, relating changes in MODIS-aot to changes in CERES broadband solar fluxes

## ○ Participant # 3

- the average forcing of simulations with 9 different global models, as part of a AeroCom exercise

## ○ Participant # 4

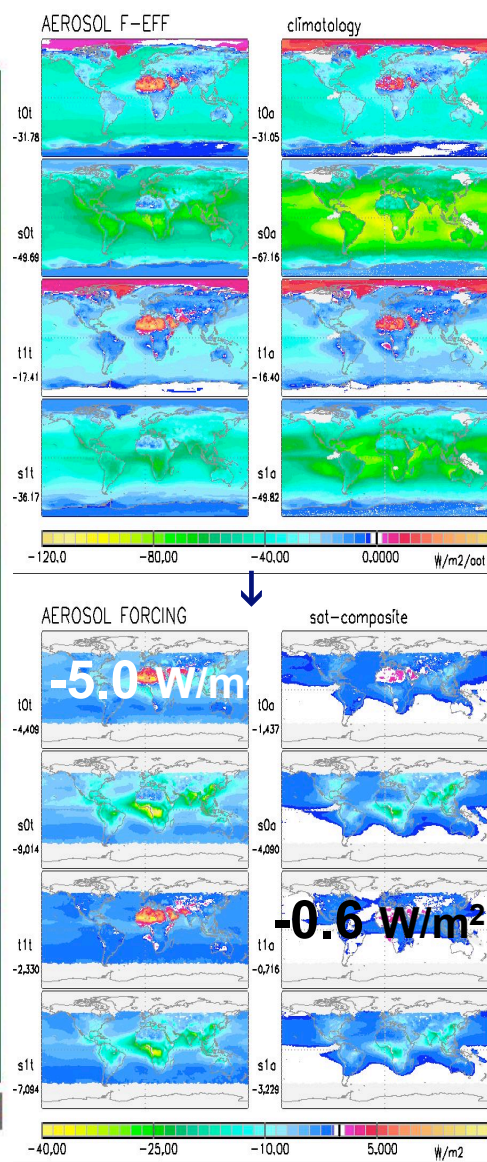
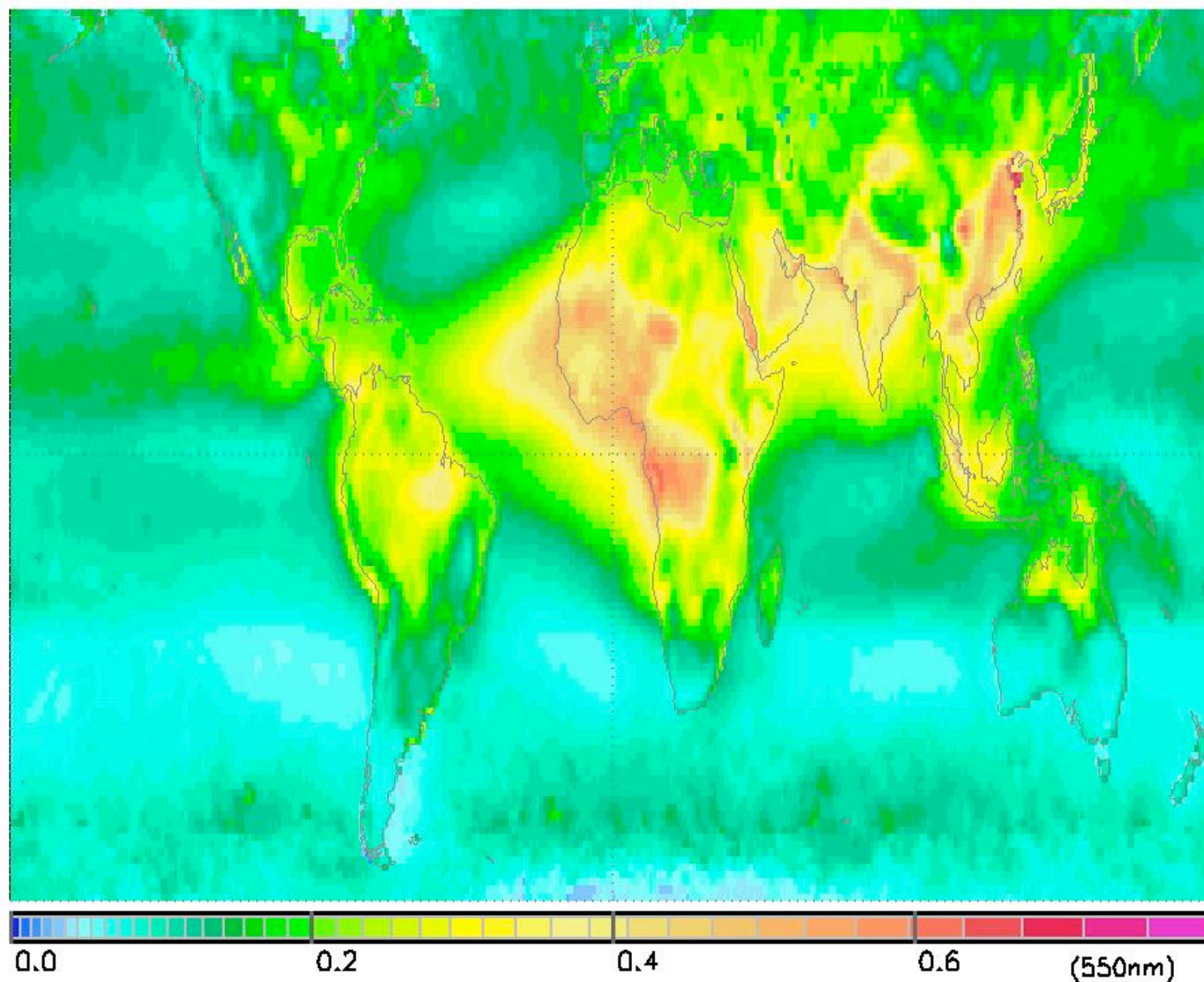
- use optical properties of AeroCom in offline calcu.



# # 1

# aot (sat composite) \* FE

## SATELLITE COMPOSITE of AOD





clear-sky  
ToA

clear-sky  
surface

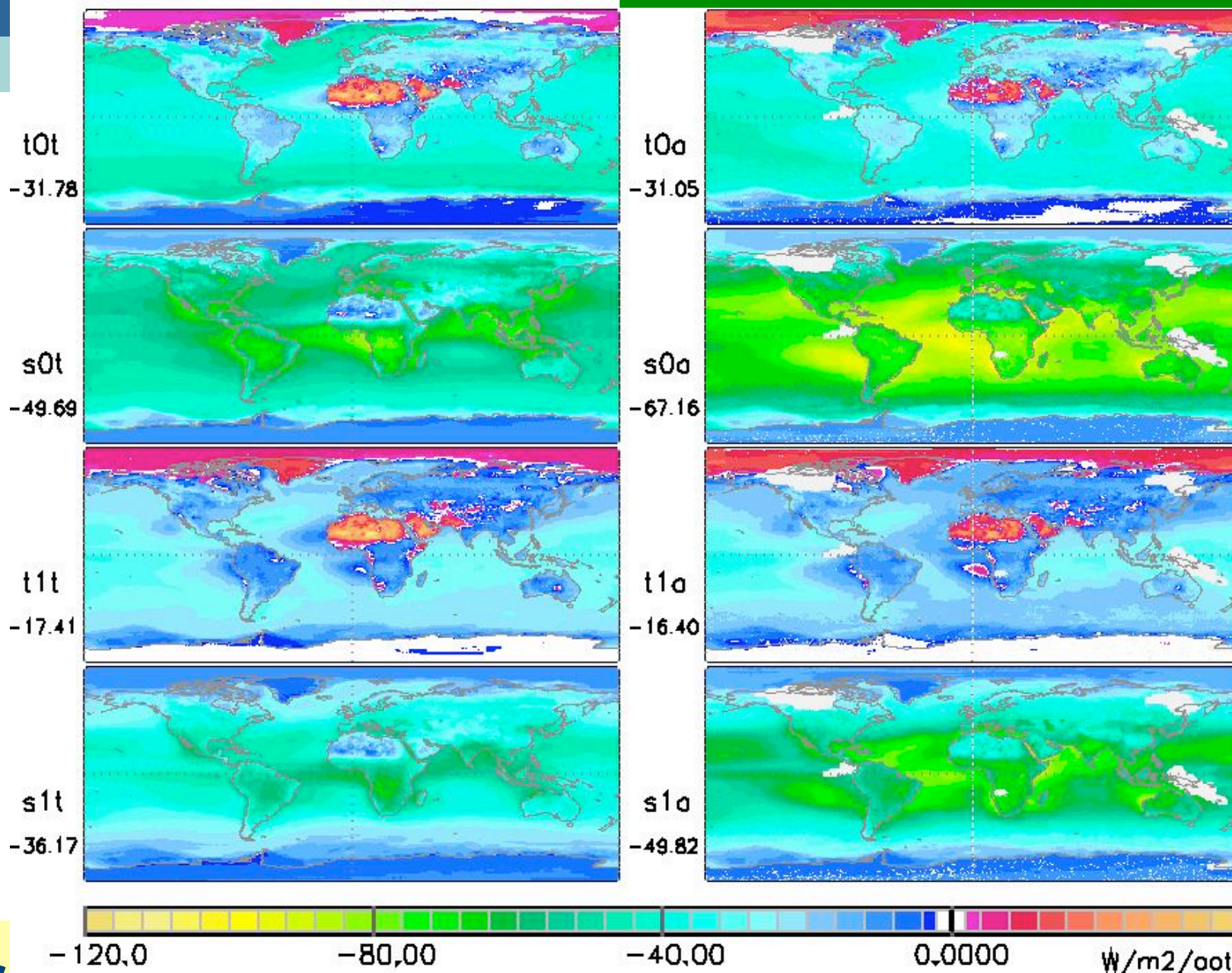
all-sky  
ToA

all-sky  
surface

**sol+ir**

AEROSOL F-EFF

climatology → forcing/aot



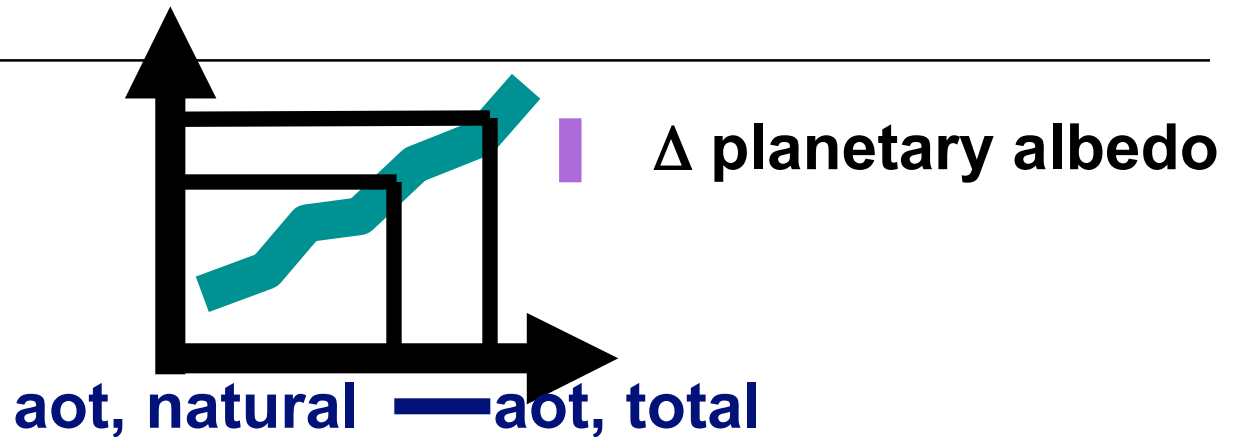
total (natural+anthrop.)

anthropogenic (total-nat)



albedo  
albedo  
total  
natur.

*not over deserts or ice  
only for good statistics*

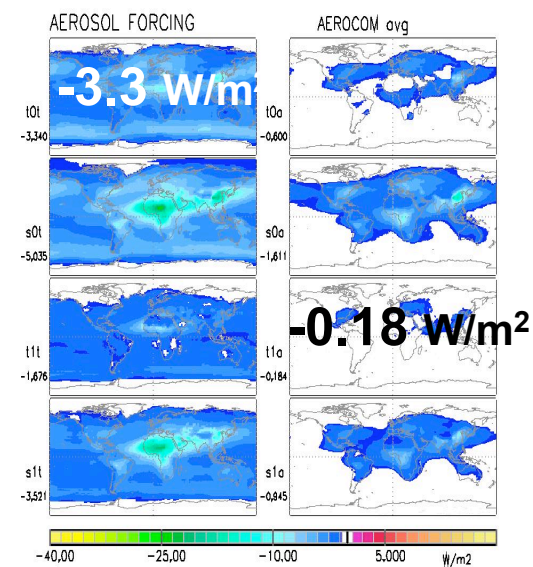
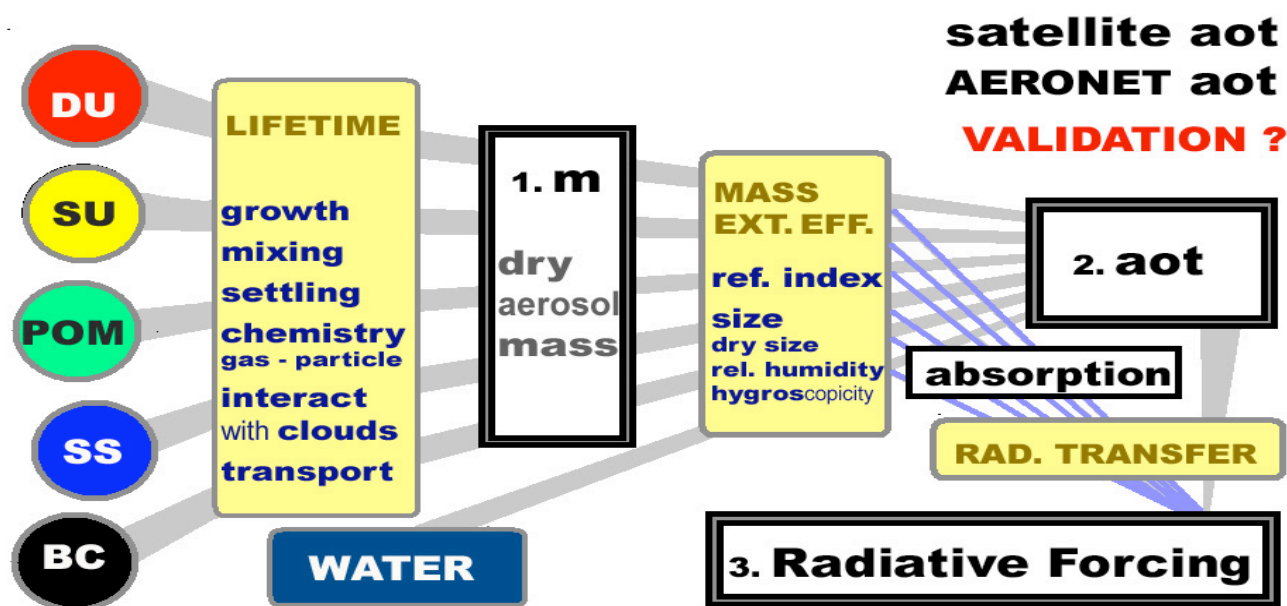


- relate MODIS aerosol and cloud prop in CERES footprint to CERES broadband fluxes
- stratify by cloud fraction and LWC at 1°lat\*1°lon
- associate changes in aerosol (MODIS) to changes in broadband fluxes (CERES)
- extract the anthropogenic impact with data on aot anthropogenic fraction from global modeling

aerosol model intercomparison project <http://nansen.ipsl.jussieu.fr/AEROCOM/>

## ○ 9 models participated

- 1.simulation: apply AeroCom year 2000 emissions
- 2.simulation: apply AeroCom year 1750 emissions
- total forcing: results of 'sim1'
- anthrop. forcing: differences of 'sim1' minus 'sim2'

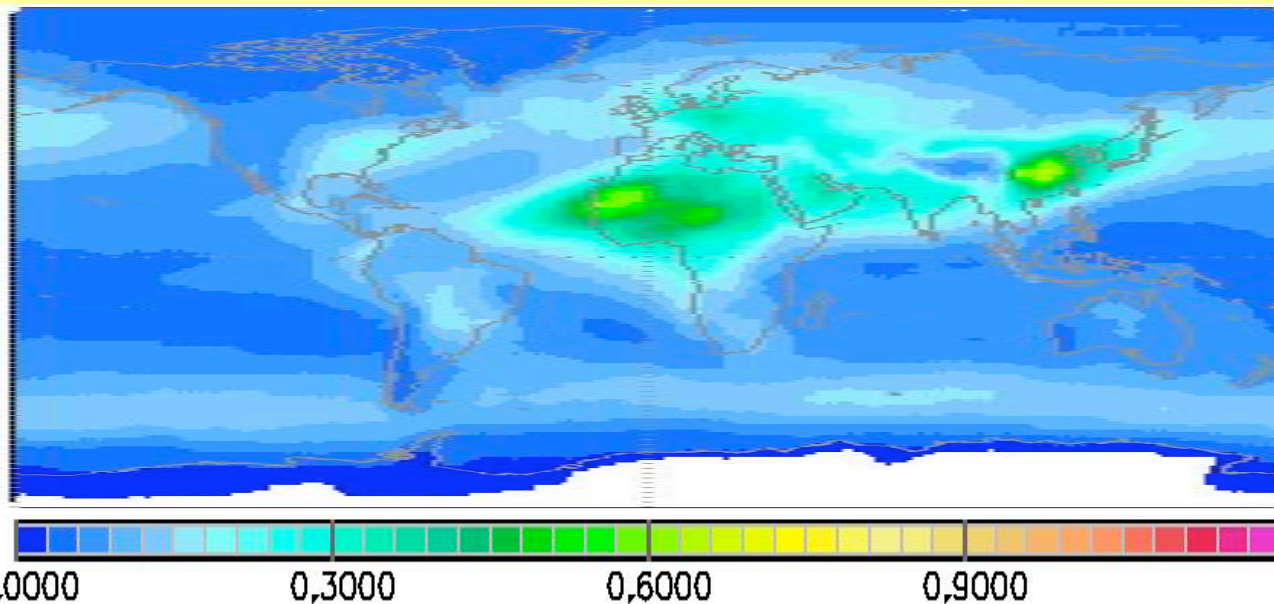




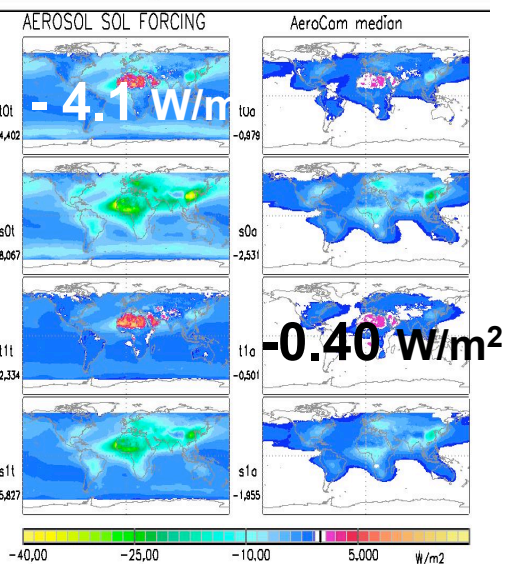
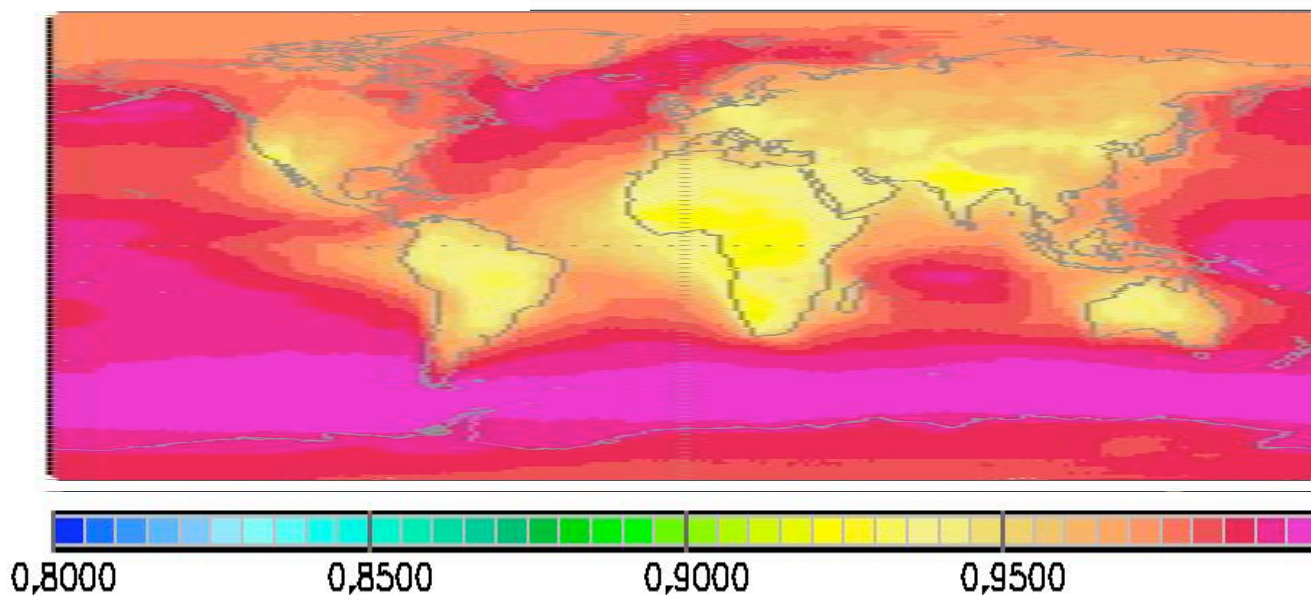
#4

# AeroCom median data

aot



ssa





# off-line vs. on-line

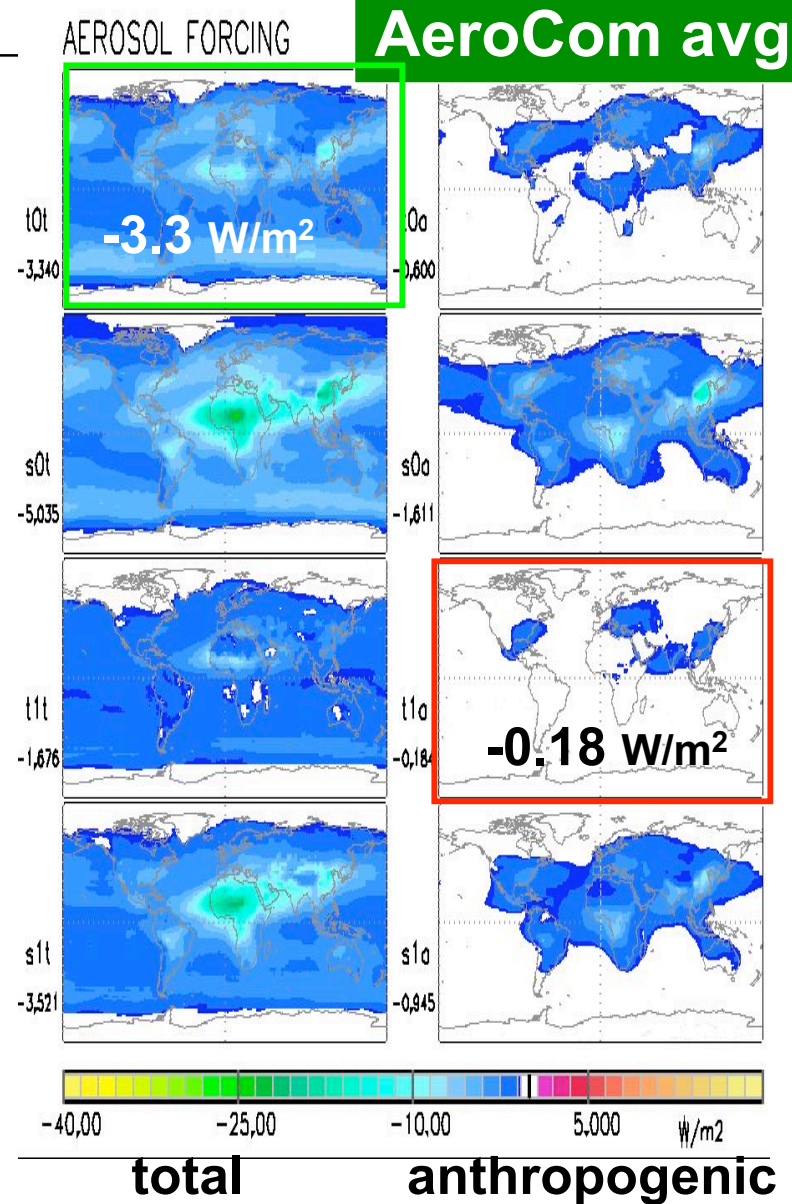
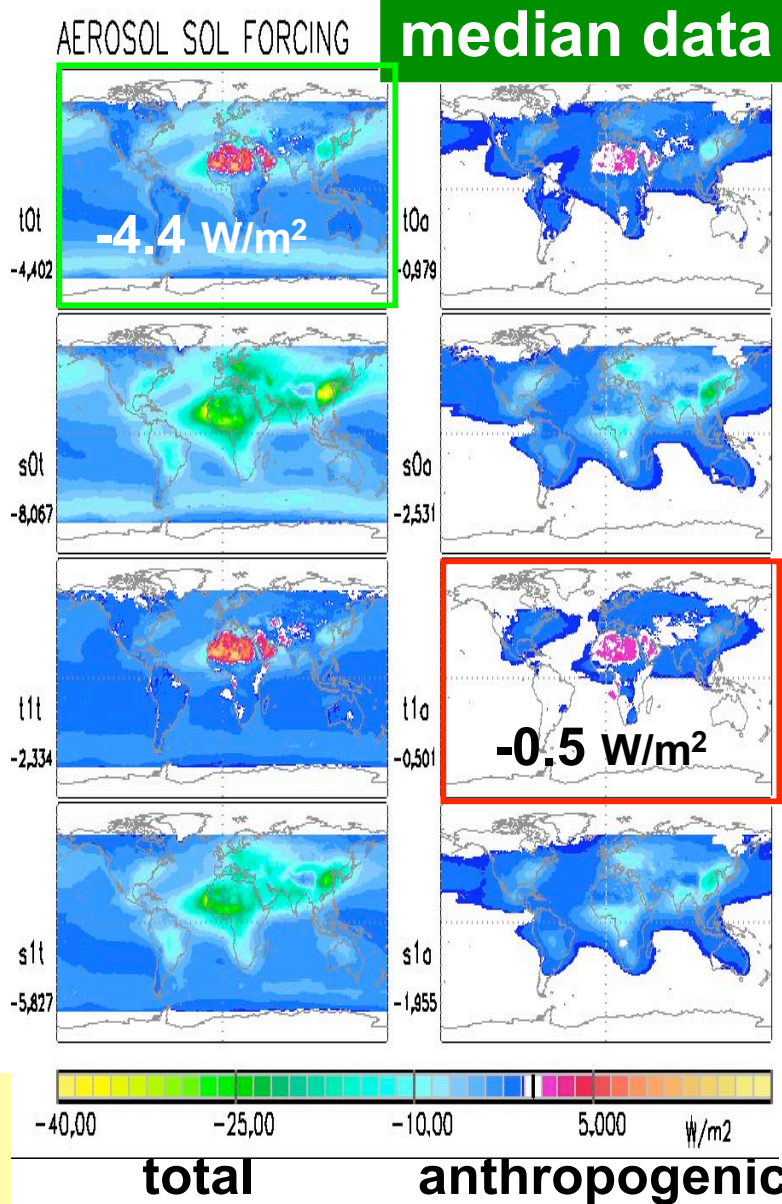
clr-sky  
ToA

clr-sky  
surface

all-sky  
ToA

all-sky  
surface

solar



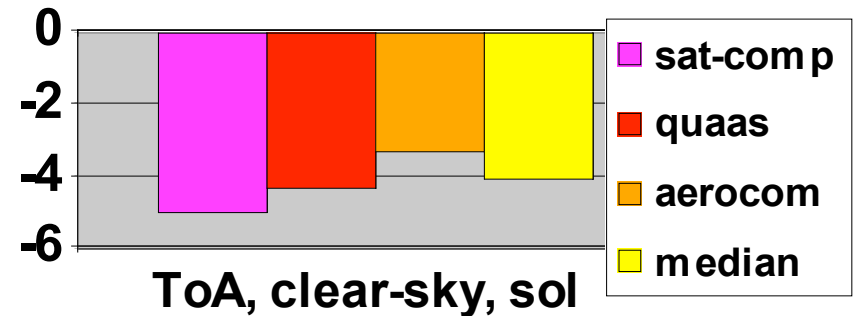
- **clr-sky, total (nat+ant)**

- **median** agrees with **obs** !
- **sat-comp** aot-biased high
- **aerocom** is already low (?)

- why ?

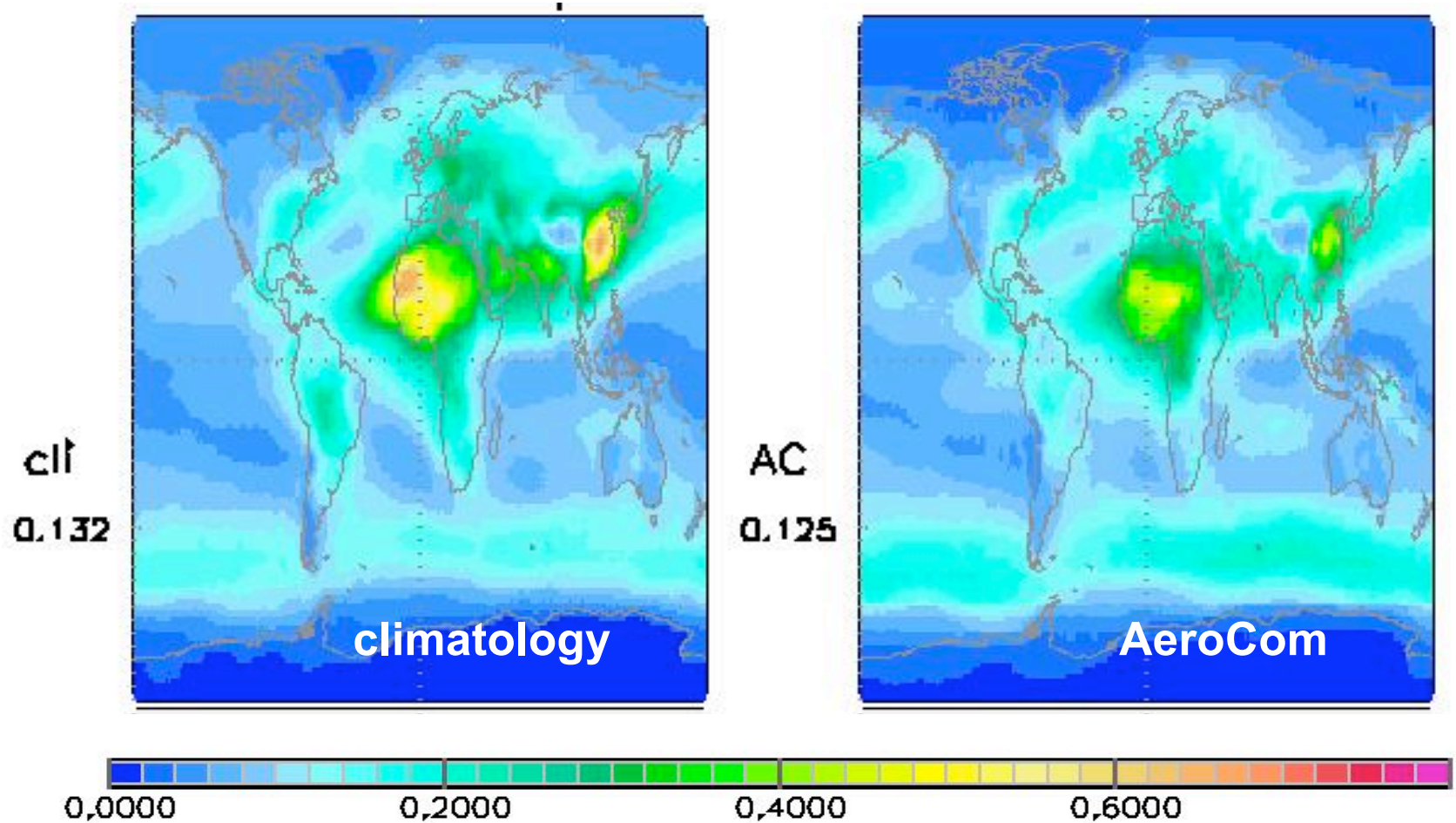
- investigate diff of properties among **median** and **aerocom**

- **aerocom total aot is lower** – contributing factor
- **aerocom ocean albedo is higher** – contributing factor
- other explanations needed: a sub-sample issue?
  - **aerocom** (of 9 models), **median** (of 16 models)



*values refer to complete coverage  
(scaling with median for missing data)*

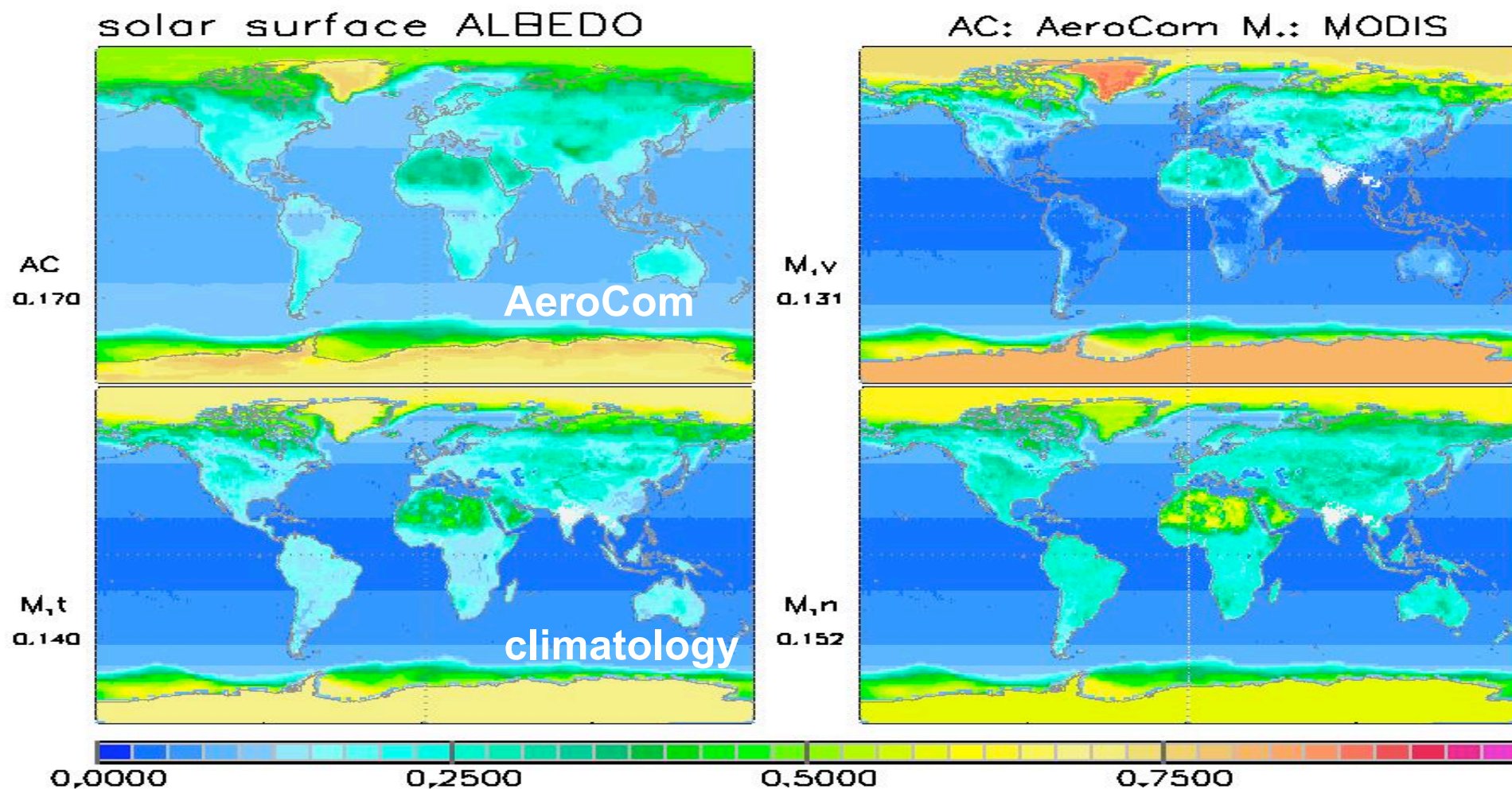
# total AOT – aerosol optical thickness



- off-line total aot is slightly larger than on-line



# solar surface albedo

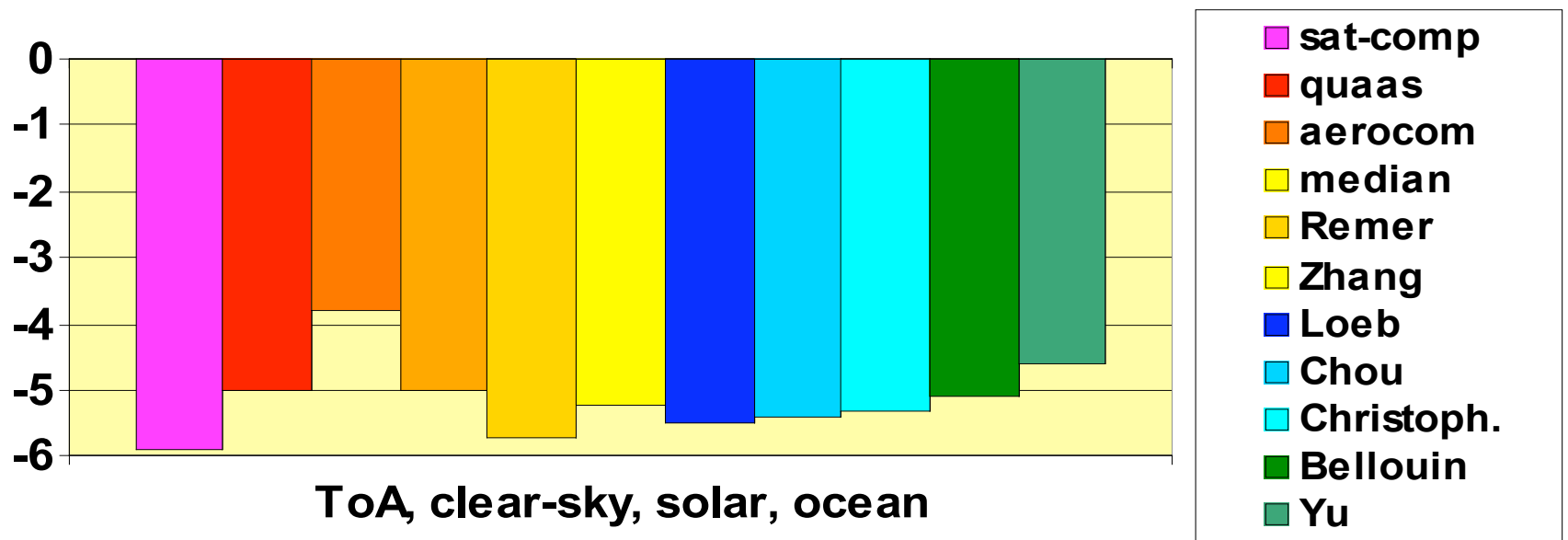
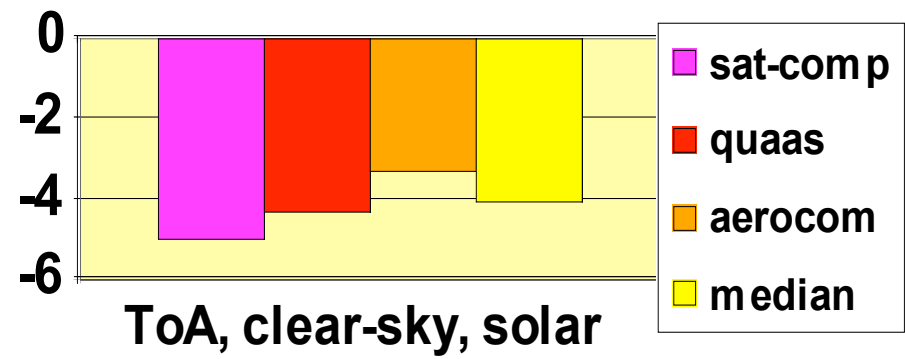


- off-line alb is smaller than on-line - except desert

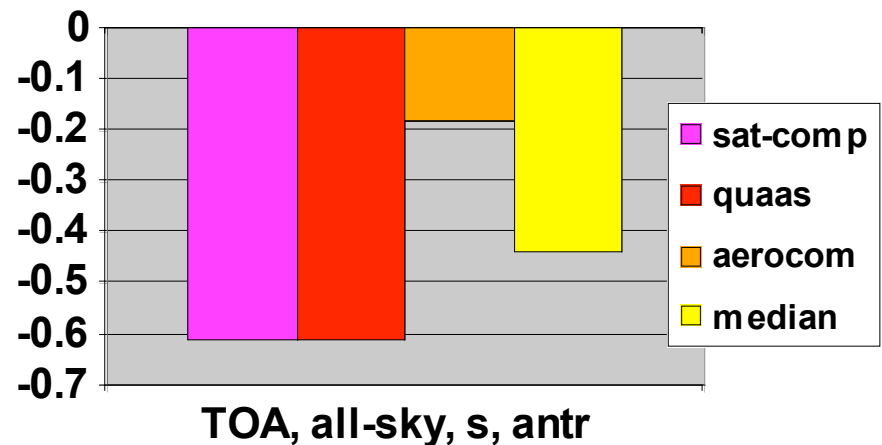
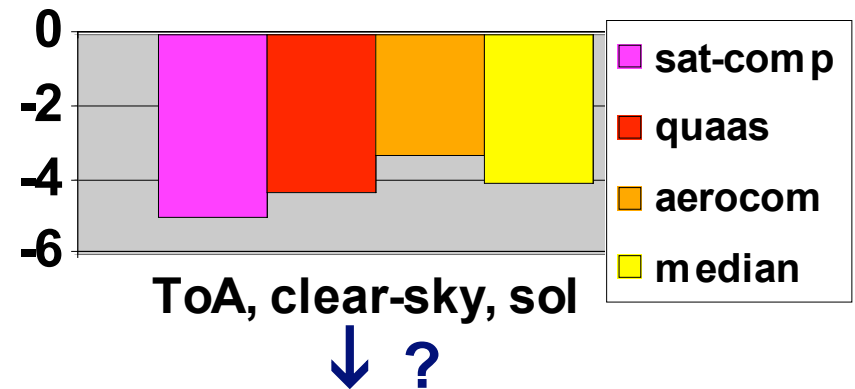
- **clr-sky, total, solar**

- **ca -  $4.5 \text{ W/m}^2$**

- **ca -  $5.0 \text{ W/m}^2$  (oceans)**

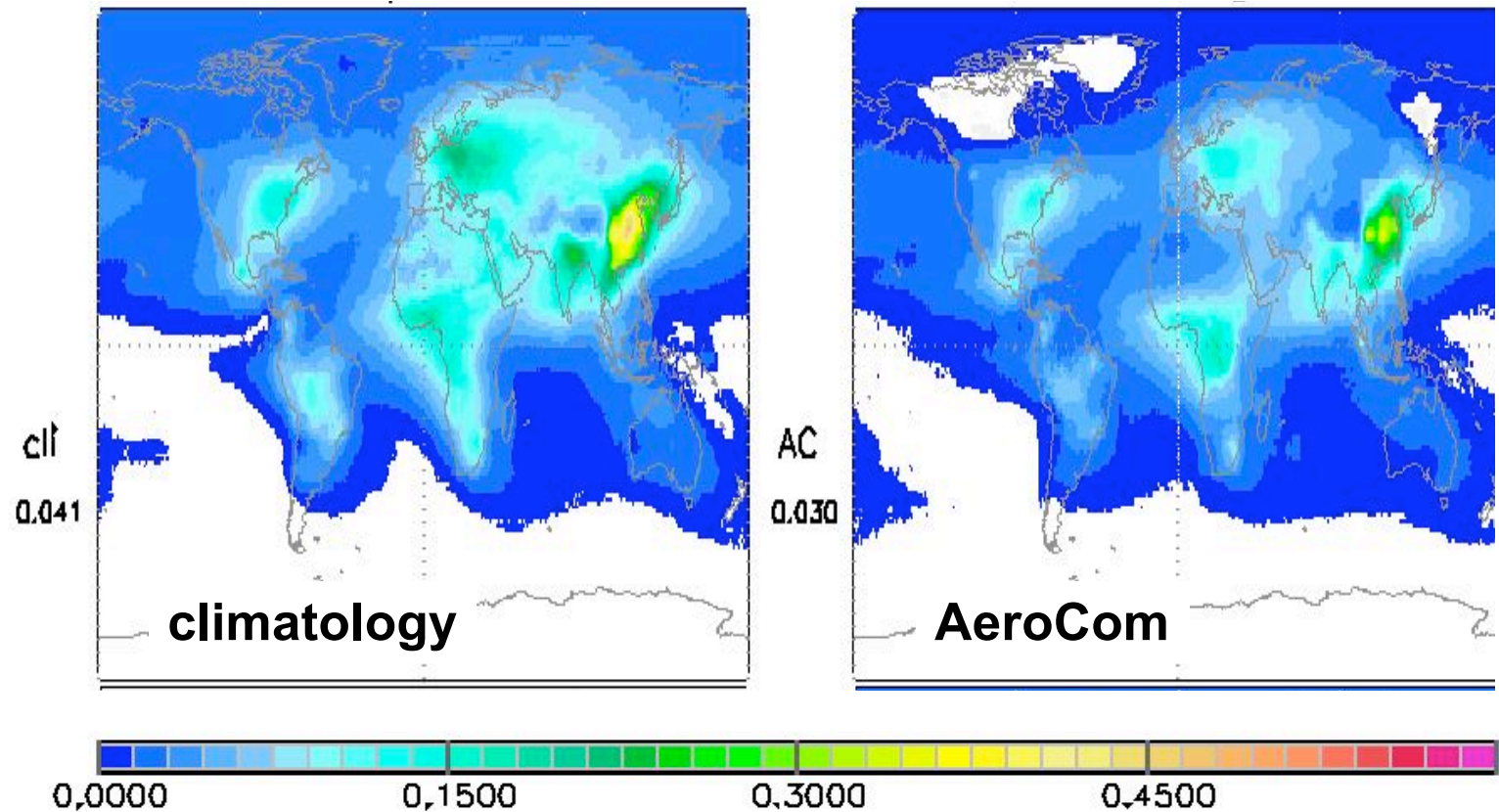


- all-sky, anthrop
- complicating issues
  - same anthrop fraction?
  - same cloud properties ?
  - same alt. placement?
- lower **aerocom**  $F$  expected*
- ...but not that low !*
- **lower anthrop. aot**  
*contributing factor*
- cloud cover?
- rel. higher (abs) aerosol?



*values refer to complete coverage  
(scaling with median for missing data)*

# anthropogenic AOT



- off-line total aot is slightly larger than on-line



# compare # 1 to # 4 regionally

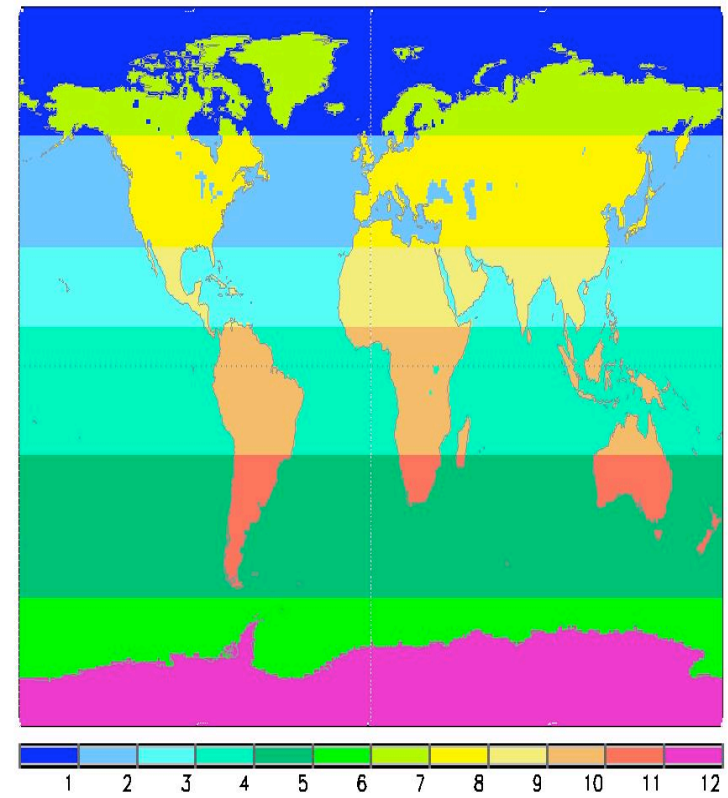
## ○ separate the globe into 6 zonal regions

- 90N-58N 3.8 | 3.8 Arctic
- 58N-30N 9.0 | 8.4 industrial
- 30N-10N 11.2 | 5.1 dust
- 10N-22S 21.2 | 6.1 biomass
- 22S-58S 21.2 | 2.5 southern
- 58S-90S 4.9 | 2.7 Antarctic

## ○ separate by surface type

- Land %
- Ocean %

REGIONAL CHOICES





# regional total clear-sky forcing

20

18

16

14

12

10

8

6

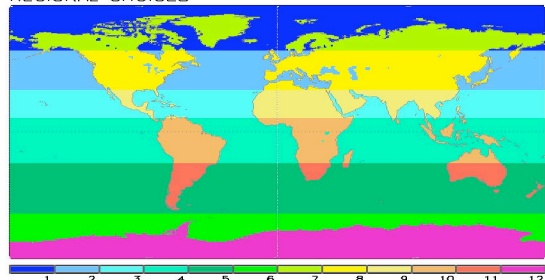
4

2

0

solar atmospheric forcing in W/m<sup>2</sup>

REGIONAL CHOICES



- global
- o-arctic
- o-industry
- o-dust
- o-biomass
- o-south
- o-antarctic
- l-arctic
- l-industry
- l-dust
- l-biomass
- l-south
- l-antarctic

AC

med

sat

Modis/Ceres

0

-1

-2

-3

-4

-5

-6

-7

-8

solar ToA forcing in W/m<sup>2</sup>

# regional anthro all-sky forcing

7

solar atmospheric forcing in W/m<sup>2</sup>

6

5

4

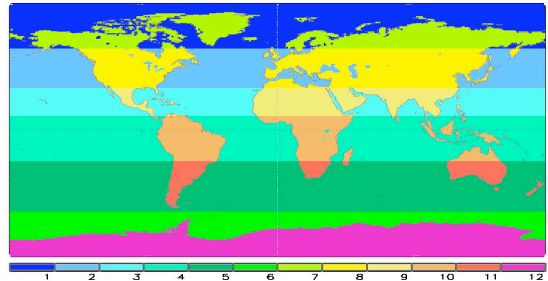
3

2

1

0

REGIONAL CHOICES



- global
- o-arctic
- o-industry
- o-dust
- o-biomass
- o-south
- o-antarctic
- l-arctic
- l-industry
- l-dust
- l-biomass
- l-south
- l-antarctic

AC

med

sat

Modis/Ceres

0.5

0

-0.5

-1

-1.5

-2

-2.5

solar ToA forcing in W/m<sup>2</sup>

AC

med

sat

quaas

# what we found

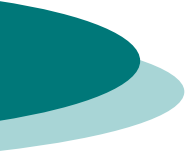
- the direct clear-sky ToA forcing is ca -  $4.5 \text{ W/m}^2$ 
  - very 'robust' at  $-5 \text{ W/m}^2$  over the oceans on average
- the aerosol direct all-sky ToA forcing (with relevance to climate) is significantly smaller and uncertain
  - values vary:  $0.0$  and  $-0.7 \text{ W/m}^2$  ('model' < 'data-tied')
  - differences are largely introduced by composition!
    - without absorption diff. the gap between modeling and data-tied approaches would be even larger!
  - uncertainties to correctly place aerosol (Calipso?) and quantify the anthrop. fraction (contribute)

# final thoughts

- **estimation of the anthropogenic direct aerosol forcing at ToA is so difficult because:**
  - **we cannot measure anthropogenic fraction**
  - **we are uncertain on the absorbing properties**
  - **we are uncertain about the correct altitude placing**
    - **matters in the context of clouds (all-sky)**
  - **the overall impact is composed from differences of larger numbers**
    - **scattering vs absorption**
    - **solar impact vs infrared impact**



**Met Office**

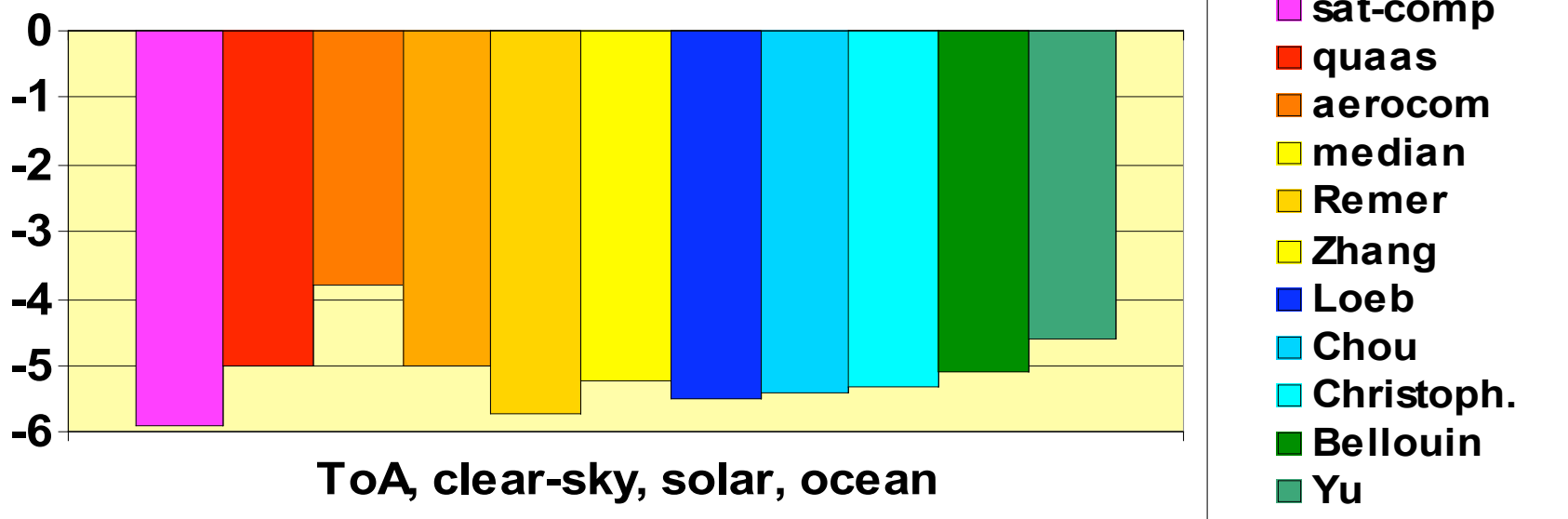
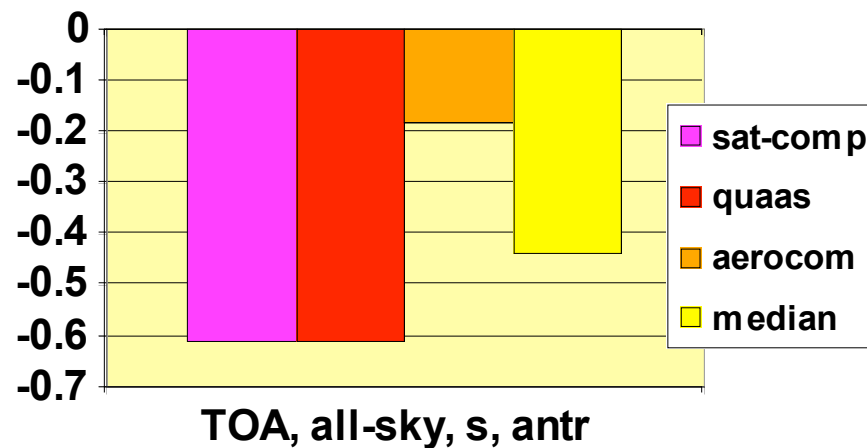
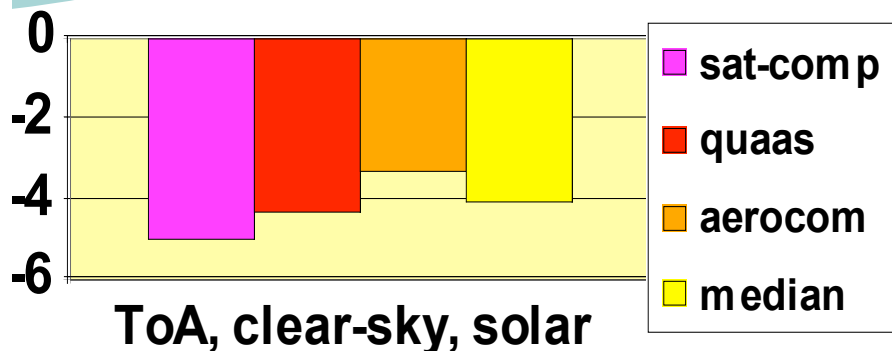




Met Office

# extras

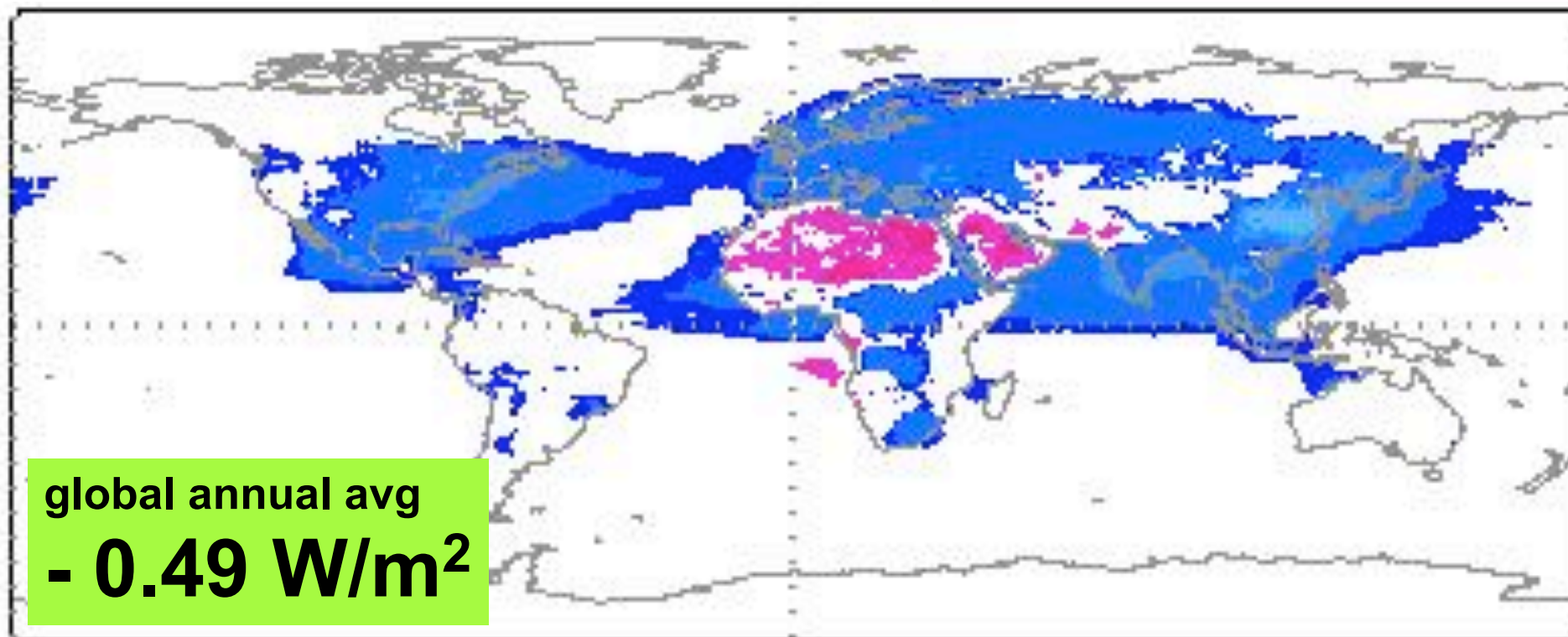
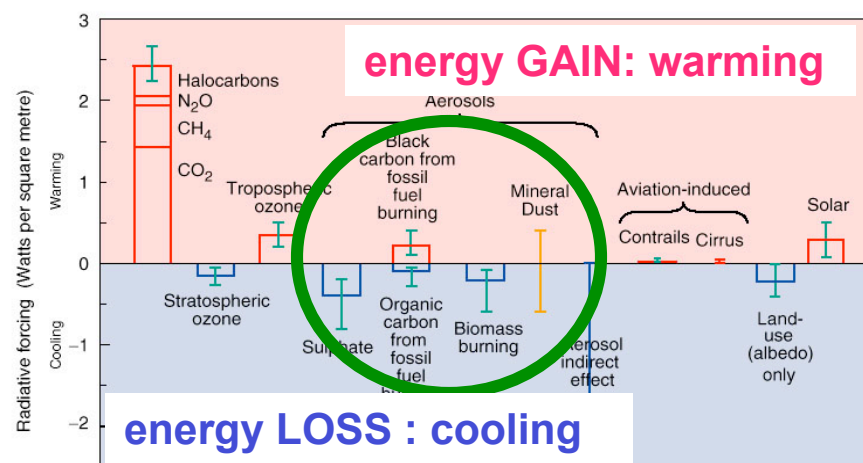
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# my estimate

for the direct aerosol forcing  
at the top of atmosphere (ToA)  
with clouds (all-sky conditions)  
for anthropogenic aerosol

The global mean radiative forcing of the climate system  
for the year 2000, relative to 1750



global annual avg  
- 0.49 W/m<sup>2</sup>

